



Oklahoma City: Regional Guidance on the Issues

Panel Discussion

7 Feb 2017



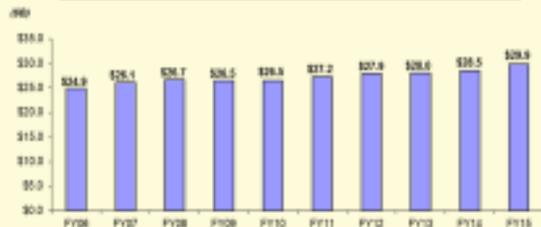
Commission's Initiatives

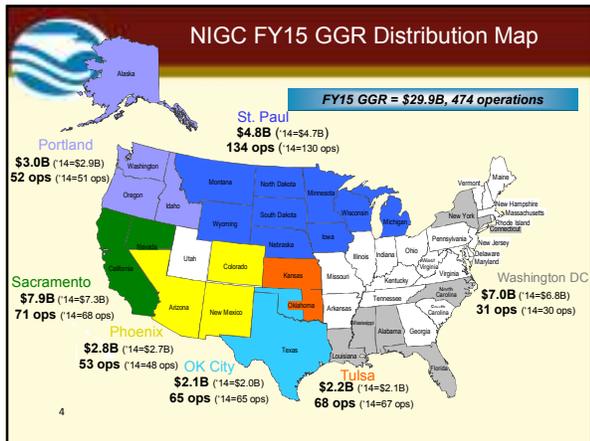
- Rural Outreach
- Staying ahead of the Technology Curve
- Supporting a strong workforce both in-house and among our regulatory partners.
- Protect against anything that amounts to gamesmanship on the back of tribes.



FY2015 GGR

FY15: Indian gaming industry's largest year-over-year GGR increase during past 10 years.





Oklahoma Region

- Western Oklahoma**
19 Tribes – 66 gaming operations:

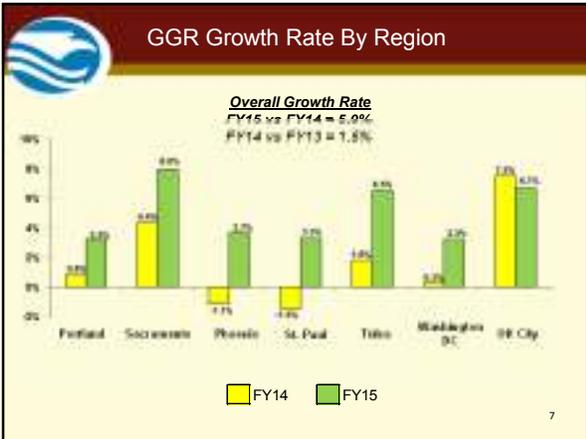
Class II	4
Class III (only)	0
Class II/III	62
- Texas**
2 Tribes – 2 gaming operation:

Class II (only)	2
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Oklahoma Region

- Backgrounds & Licensing**
October 2015 to September 2016:
 - Fingerprints Processed – 6,216
 - NORs Received – 4,182
 - NGLIs Received – 3,799





Regional Compliance Issues/Guidance

ISSUE:

- Facility License
- NOR/NGLI Submissions
- TGRA/Management/Govt. relationship
- Gamesmanship
- Fees and worksheets

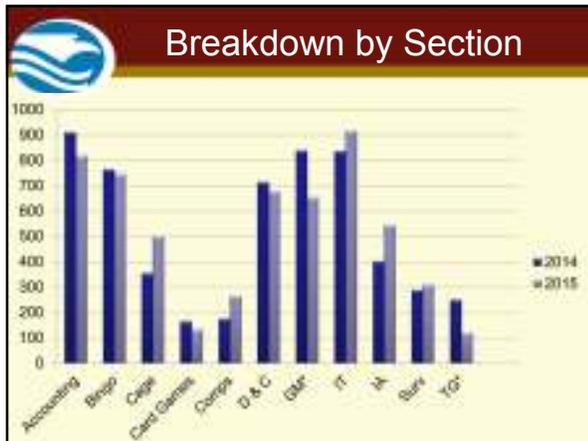
GUIDANCE:

- Expiration/Renewal
- Timeliness/Systemic review
- NIGC assistance/Site Specific Training
- Review the contracts
- TGRA and Operations verify submissions are made.

Office of General Counsel

Office of General Counsel Technical Assistance

- Draft Gaming Ordinances
- Unexecuted Agreements related to the Gaming Operation
- Other Questions or Concerns



543 #5 Finding

- Internal Audit 543.23(c)(1)(iv)
 - Controls established and implemented
 - Internal auditor(s) perform audits to review compliance with TICS, SICS, and MICS
 - Complimentary services or items
 - Including procedures for issuing, authorizing, redeeming, and reporting complimentary service items

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543 #3 Finding

- Auditing Revenue 543.24(d)(8)(iv)
 - Controls established and procedures implemented to audit of each of the following operational areas:
 - Drop and Count
 - Quarterly, inventory all controlled keys and reconcile to records of keys made, issued, and destroyed
 - Investigate all keys unaccounted for, and document investigation

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543 #3 Finding

- Bingo 543.8(g)(5)(i)(I)
 - Technologic aids to the play of bingo
 - Controls established and procedures implemented
 - Testing completed during installation process
 - All buttons, to ensure that all are operational and programmed appropriately

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543 #2 Finding

- Surveillance 543.21(b)(11)
 - Periodic inspection of surveillance systems
 - When malfunction is discovered, malfunction and repairs must be documented and repairs initiated within 72 hrs.
 - If dedicated camera, alternative security procedures must be implemented immediately
 - TGRA must be notified of malfunctions lasting more than 24 hrs.

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543 #1 Finding

- Auditing Revenue 543.24(d)(8)(i)
 - Controls must be established and procedures implemented to audit each of the following operational areas:
 - Drop and Count
 - At least quarterly, unannounced currency counter and currency counter interface (if applicable) test must be performed, and the test results documented and maintained.

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Summary of 543 findings

- A majority of 543 findings are as it relates to the sections that require;
 - Controls must be established
 - Procedures implemented

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NIGC Training Program

- Revising training approach to be more process driven.
 - “How To” instead of “How Come”
- New workshops Internal Audit (16hr training) TICS/SICS
- In the future Learning Management System
- A call for suggestions!

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Questions





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